

**REQUEST FOR EXPRESSIONS OF INTEREST
(CONSULTING SERVICES – FIRMS SELECTION)**

INDIA

Kerala Solid Waste Management Project (KSWMP)

Loan No./Credit No./Grant No.: Applied for

Assignment Title: Technical Support Consultancy (TSC) Services

Reference No. Package A: IN-SUCM -183706-CS-QCBS

Package B: IN-SUCM -183707-CS-QCBS

Package C: IN-SUCM -183711-CS-QCBS

Package D: IN-SUCM -183712-CS-QCBS

1. The Government of Kerala through Government of India has applied for financing from the World Bank toward the cost of the Kerala Solid Waste Management Project (KSWMP) and intends to apply part of the proceeds for consulting services titled “Technical Support Consultancy (TSC) Services” for KSWMP.
2. Broadly, the scope of the consulting services (“the Services”) includes providing (a) end to end support to the ULBs in preparation of Solid Waste Management (SWM) plan, (b) sub-project planning and design, (c) contract management and implementation supervision. TSC will be hired for each package to support the ULBs in the respective districts for the following activities:
 - Activity 1 – Technical assistance for SWM planning and institutional reforms.
 - Activity 2 – Technical support for SWM sub-project preparation and implementation
 - Activity 3 – Environmental safeguard management support for SWM sub-project planning, preparation and implementation
 - Activity 4 – Social safeguard, gender inclusion, citizen engagement and communications support for SWM sub-project planning, preparation and implementationThe Consultant will support the client for a period of 6 years. The contract may be reviewed at the end of 3 years to assess the satisfactory performance before continuing for the next 3 years.
3. Total requirement is split into four packages. This is a joint REOI for all four packages which will conclude with four separate shortlist of consultants – one for each package. Follow-on RFP would be issued separately for each package. Listed here are the four packages;
 - a. Technical Support Consultancy Services – Package A (Districts - Trivandrum, Kollam, Pathanamthitta)
 - b. Technical Support Consultancy Services – Package B (Districts - Ernakulam, Alappuzha, Kottayam, Idukki)
 - c. Technical Support Consultancy Services – Package C (Districts - Thrissur, Malappuram, Palakkad)
 - d. Technical Support Consultancy Services – Package D (Districts - Kasaragod, Kannur, Wayanad, Kozhikode)
4. Kerala Solid Waste Management Project- SPMU on behalf of Suchitwa Mission (under Local Self Government Department, Government of Kerala) now invites eligible consulting firms (“Consultants”) to indicate their interest in providing the Services. Interested Consultants should provide information demonstrating that they have the required qualifications and relevant experience to perform the Services.
5. The short listing criteria is mentioned below for each package:

5.1 Package A (Districts - Trivandrum, Kollam, Pathanamthitta)

- a. have been in business for at least last ten (10) years (i.e. 2010-20);
 - Single Entity Applicant: Must meet requirement.
 - JV Applicant: Must be met by each member of JV
- b. have an average annual turnover of minimum INR 5 Crores or USD 650,000.00 in the last 3 financial years (i.e. 2019-20, 2018-19 and 2017-18);
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be met by all JV members combined. Lead member must meet at least 50% of the requirement.
- c. have proven experience of successfully completed **2 similar Technical Support Consultancy¹ service assignments** in India/South Asia in the last 10 years (i.e. 2010-20);
- d. have proven experience of successfully completing **3 similar assignments related to planning, designing and implementing city level Integrated Municipal Solid Waste Management projects** (including all parts of the value chain – segregation, collection, transportation, processing/recycling and safe disposal, dumpsite rehabilitation) for cities in India/South Asia in the last 10 years (i.e. 2010-20). Experience of working on decentralized (waste generator and community level) systems for waste minimization and diversion through processing, recycling and resource recovery would be preferred.
- e. have proven experience of successfully completing **3 similar assignments related to Solid waste management planning, solid waste management project development and implementation and capacity building in** India/South Asia in the last 10 years (i.e. 2010-20). Experience of providing support for strengthening project implementation, procurement, contract management and financial management support including design of PPP projects for SWM would be preferred.
- f. have proven experience of successfully completing **2 similar assignments related to in community mobilization, IEC, awareness generation and communication, social inclusion, resettlement and rehabilitation related issues for urban infrastructure services related to solid waste management/ sanitation** in India/South Asia the last 10 years (i.e. 2010-20). Local experience of working on social inclusion, awareness generation and community engagement for waste management and sanitation services in Kerala and similar states would be preferred.
- g. have technical and managerial capability to undertake the assignment. Key Experts will not be evaluated at the short listing stage.
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be certified by all JV members

5.2 Package B (Ernakulam, Alappuzha, Kottayam, Idukki)

- a. have been in business for at least last ten (10) years (i.e. 2010-20)
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be met by each member of JV
- b. have an average annual turnover of minimum INR 5 Crores or USD 650,000.00 in the last 3 financial years (i.e. 2019-20, 2018-19 and 2017-18);
 - Single Entity Applicant: Must meet requirement

¹ Similar assignments should involve providing technical assistance, technical/engineering, environment safeguards, social safeguards, financial management, procurement & contract management and overall institutional/governance support to the Urban Local Bodies for urban infrastructure investment projects including solid waste management, sanitation, water supply etc.

- JV Applicant: Must be met by all JV members combined. Lead member must meet at least 50% of the requirement.
- c. have proven experience of successfully completed **2 similar Technical Support Consultancy² service assignments** in India/South Asia in the last 10 years (i.e. 2010-20);
 - d. have proven experience of successfully completing **3 similar assignments related to planning, designing and implementing city level Integrated Municipal Solid Waste Management projects** (including all parts of the value chain – segregation, collection, transportation, processing/recycling and safe disposal, dumpsite rehabilitation) for cities in India/South Asia in the last 10 years (i.e. 2010-20). Experience of working on decentralized (waste generator and community level) systems for waste minimization and diversion through processing, recycling and resource recovery would be preferred.
 - e. have proven experience of successfully completing **3 similar assignments related to Solid waste management planning, solid waste management project development and implementation and capacity building, in ULBs** in India/South Asia in the last 10 years (i.e. 2010-20). Experience of providing support for strengthening project implementation, procurement, contract management and financial management support including design of PPP projects for SWM would be preferred.
 - f. have proven experience of successfully completing **2 similar assignments related to in community mobilization, IEC, awareness generation and communication, social inclusion, resettlement and rehabilitation related issues for urban infrastructure services related to solid waste management/ sanitation** in India/South Asia the last 10 years (i.e. 2010-20). Local experience of working on social inclusion, awareness generation and community engagement for waste management and sanitation services in Kerala and similar states would be preferred.
 - g. have technical and managerial capability to undertake the assignment. Key Experts will not be evaluated at the shortlisting stage.
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be certified by all JV members

5.3 Package C (Thrissur, Malappuram, Palakkad)

- a. have been in business for at least last ten (10) years (i.e. 2010-20);
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be met by each member of JV
- b. have an average annual turnover of minimum INR 5 Crores or USD 650,000.00 in the last 3 financial years (i.e. 2019-20, 2018-19 and 2017-18);
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be met by all JV members combined. Lead member must meet at least 50% of the requirement.
- c. have proven experience of successfully completed **2 similar Technical Support Consultancy³ service assignments** in India/South Asia in the last 10 years (i.e. 2010-20);

² Similar assignments should involve providing technical assistance, technical/engineering, environment safeguards, social safeguards, financial management, procurement & contract management and overall institutional/governance support to the Urban Local Bodies for urban infrastructure investment projects including solid waste management, sanitation, water supply etc.

³ Similar assignments should involve providing technical assistance, technical/engineering, environment safeguards, social safeguards, financial management, procurement & contract management and overall institutional/governance support to the Urban Local Bodies for urban infrastructure investment projects including solid waste management, sanitation, water supply etc.

- d. have proven experience of successfully completing **3 similar assignments related to planning, designing and implementing city level Integrated Municipal Solid Waste Management projects** (including all parts of the value chain – segregation, collection, transportation, processing/recycling and safe disposal, dumpsite rehabilitation) for cities in India/South Asia in the last 10 years (i.e. 2010-20). Experience of working on decentralized (waste generator and community level) systems for waste minimization and diversion through processing, recycling and resource recovery would be preferred.
- e. have proven experience of successfully completing **3 similar assignments related to Solid waste management planning, solid waste management project development and implementation and capacity building in ULBs** for in India/South Asia in the last 10 years (i.e. 2010-20). Experience of providing support for strengthening project implementation, procurement, contract management and financial management support including design of PPP projects for SWM would be preferred.
- f. have proven experience of successfully completing **2 similar assignments related to in community mobilization, IEC, awareness generation and communication, social inclusion, resettlement and rehabilitation related issues for urban infrastructure services related to solid waste management/ sanitation** in India/South Asia the last 10 years (i.e. 2010-20). Local experience of working on social inclusion, awareness generation and community engagement for waste management and sanitation services in Kerala and similar states would be preferred.
- g. have technical and managerial capability to undertake the assignment. Key Experts will not be evaluated at the shortlisting stage.
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be certified by all JV members

5.4 Package D (Kasaragod, Kannur, Wayanad, Kozhikode)

- a. have been in business for at least last ten (10) years (i.e. 2010-20);
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be met by each member of JV
- b. have an average annual turnover of minimum INR 5 Crores or USD 650,000.00 in the last 3 financial years (i.e. 2019-20, 2018-19 and 2017-18);
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be met by all JV members combined. Lead member must meet at least 50% of the requirement.
- c. have proven experience of successfully completed **2 similar Technical Support Consultancy⁴ service assignments** in India/South Asia in the last 10 years (i.e. 2010-20);
- d. have proven experience of successfully completing **3 similar assignments related to planning, designing and implementing city level Integrated Municipal Solid Waste Management projects** (including all parts of the value chain – segregation, collection, transportation, processing/recycling and safe disposal, dumpsite rehabilitation) for cities in India/South Asia in the last 10 years (i.e. 2010-20). Experience of working on decentralized (waste generator and community level) systems for waste minimization and diversion through processing, recycling and resource recovery would be preferred.

⁴ Similar assignments should involve providing technical assistance, technical/engineering, environment safeguards, social safeguards, financial management, procurement & contract management and overall institutional/governance support to the Urban Local Bodies for urban infrastructure investment projects including solid waste management, sanitation, water supply etc.

- e. have proven experience of successfully completing **3 similar assignments related to Solid waste management planning, solid waste management project development and implementation and capacity building, in ULBs** in India/South Asia in the last 10 years (i.e. 2010-20). Experience of providing support for strengthening project implementation, procurement, contract management and financial management support including design of PPP projects for SWM would be preferred.
- f. have proven experience of successfully **completing 2 similar assignments related to in community mobilization, IEC, awareness generation and communication, social inclusion, resettlement and rehabilitation related issues for urban infrastructure services related to solid waste management/ sanitation** in India/South Asia the last 10 years (i.e. 2010-20). Local experience of working on social inclusion, awareness generation and community engagement for waste management and sanitation services in Kerala and similar states would be preferred.
- g. have technical and managerial capability to undertake the assignment. Key Experts will not be evaluated at the shortlisting stage.
 - Single Entity Applicant: Must meet requirement
 - JV Applicant: Must be certified by all JV members

5.5 Consultant indicating interest for multiple packages shall be assessed on cumulative requirement of those packages for criteria (b), (c), (d), (e), (f), and (g) as listed above based on merit.

6. Firm who receives the contract for assignment “Title: Hiring of Project Management Consultant (PMC), Reference No.: IN-SUCM -183681-CS-QCBS” will be ineligible to get shortlisted or receive an award under follow-on RFP process(es) on the grounds of Conflict of Interest.
7. The lists of documents to be submitted along with EOI are (Expression of Interest shall not be more than total 60 pages):
 - a) Name, address, e-mail, telephone along with a note on the Ownership and organizational structure & core business area of the consulting firm;
 - b) Document (s) to demonstrate that the firm is in the business for the last 10 years;
 - c) Document (s) relating to details of assignments (requested above) shall be supported by completion certificate or work order along with final payment confirmation. The supporting documents shall clearly state the scope of work undertaken by the bidding agency;
 - d) Details of Maximum 10 projects under eligibility Criteria (c), (d), (e) and (f) listed above under each package (sub-para 5.1, 5.2, 5.3 & 5.4) shall only be submitted. The same shall be submitted in the format given as **Annexure-I** along with supporting documents for each package separately. Further, similar projects can be considered across each criteria within a package. If consultants have a short fall of eligible assignments under each criterion, evaluation will be conducted based on the relative strength demonstrated.
 - e) Certificate from the statutory auditors/Chartered Accountant stating the year wise annual turnover during each of the last 3 financial years (i.e. 2019-20, 2018-19 and 2017-18);
 - f) A short write-up on technical and managerial capability of the firm (key personnel would not be evaluated at this stage and hence CVs shall not be submitted).
 - g) If expression of interest is submitted for more than one package,
 - documentation shall support to demonstrate that Consultant meets the cumulative requirements as indicated at sub-para 5.5 above.

- clearly indicate the priority order of packages for short listing purpose.
8. The attention of interested Consultants is drawn to Section III of the World Bank's "Procurement Regulations for IPF Borrowers" July 2016, Revised November 2017, August 2018 and November 2020 ("Procurement Regulations"), setting forth the World Bank's policy on conflict of interest, eligibility and disqualification.
 9. Consultants may associate with other firms to enhance their qualifications, but should indicate clearly whether the association is in the form of a joint venture and/or a sub-consultancy. In the case of a joint venture, all the partners in the joint venture shall be jointly and severally liable for the entire contract, if selected. The nature of association i.e. whether JV or Sub-Consultant, must be clearly mentioned in the Expression of Interest.
 10. A Consultant will be selected in accordance with the Quality and Cost-based Selection (QCBS) method set out in the Procurement Regulations.
 11. Further information can be obtained at the address below during office hours *1030to 1700 hours*.
 12. REOI along with TOR is available at www.kswmp.org. Corrigendum, if any, shall also be hosted at www.kswmp.org.

Interested Consultants must submit their EOIs (soft copy only) in English language to the tenderkswmp@gmail.com on or before *1700 hours*, on **09 March 2021**.

Kerala Solid Waste Management Project, Local Self Government Department, Government of Kerala

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FORMAT FOR SUBMISSION OF DETAILS OF ASSIGNMENTS IN RESPONSE TO REOI FOR HIRING HIRING OF TECHNICAL SUPPORT CONSULTANT (TSC) FOR KERALA SOLID WASTE MANAGEMENT PROJECT (KSWMP) FOR THE KERALA SOLID WASTE MANAGEMENT PROJECT

PACKAGE DETAILS:.....

Name of Firm or Lead Firm :

Criteria 3c: have proven experience of successfully completed **2 similar Technical Support Consultancyservice assignments** in the last 10 years (i.e. 2010-20)

(Key words: technical assistance, technical/engineering, environment safeguards, social safeguards, financial management, procurement & contract management and overall institutional/governance support to the Urban Local Bodies for urban infrastructure investment projects including solid waste management, sanitation, water supply)

Sl No	Name of Assignment	Name of Client & Country of Assignment	Duration (Start - End) & Status of Project (Completed/Ongoing)	Contract Value	Role on Assignment (Lead Member/JV partner/Sub-consultant)	Keywords covered (eg:technical assistance, technical/engineering,.. ..)	Details of Supporting Document (either link the document/ mention reference to the document)	Remarks if any

Criteria 3d: have proven experience of successfully completing **3 similar assignments related to planning, designing and implementing city level Integrated Municipal Solid Waste Management projects** (including all parts of the value chain – segregation, collection, transportation, processing/recycling and safe disposal, dumpsite rehabilitation) for cities in India in the last 10 years (i.e. 2010-20). Experience of working on decentralized (waste generator and community level) systems for waste minimization and diversion through processing, recycling and resource recovery would be preferred

(Key words: planning, designing and implementing, segregation, collection, transportation, processing/recycling and safe disposal, dumpsite rehabilitation)

Sl No	Name of Assignment	Name of Client & Country of Assignment	Duration (Start - End) & Status of Project (Completed/Ongoing)	Contract Value	Role on Assignment (Lead Member/JV partner/Sub-consultant)	Keywords covered (eg: <i>planning, designing and implanting, segregation...</i>)	Details of Supporting Document (either link the document/mention reference to the document)	Remarks if any

Criteria 3e: have proven experience of successfully completing **3 similar assignments related to Solid waste management planning, solid waste management project development and implementation and capacity building** in India/South Asia in the last 10 years (i.e. 2010-20). Experience of providing support for strengthening project implementation, procurement, contract management and financial management support including design of PPP projects for SWM would be preferred.

(Key words: Solid waste management planning, solid waste management project development and implementation and capacity building)

Sl No	Name of Assignment	Name of Client & Country of	Duration (Start - End) & Status of	Contract Value	Role on Assignment (Lead Member/JV	Keywords covered (eg: <i>Solid</i>	Details of Supporting Document	Remarks if any
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		Assignment	Project (Complete d/Ongoing)		partner/Sub-consultant)	waste management planning, solid waste management project development and implementation and capacity building)	(either link the document/ mention reference to the document)	

Criteria 3f: have proven experience of successfully completing 2 similar assignments related to in community mobilization, IEC, awareness generation and communication, social inclusion, resettlement and rehabilitation related issues for urban infrastructure services related to solid waste management/ sanitation. Local experience of working on social inclusion, awareness generation and community engagement for waste management and sanitation services in Kerala and similar states would be preferred

(Key words:- community mobilization, IEC, awareness generation and communication, social inclusion, resettlement, rehabilitation)

Sl No	Name of Assignment	Name of Client & Country of Assignment	Duration (Start - End) & Status of Project (Complete d/Ongoing)	Contract Value	Role on Assignment (Lead Member/JV partner/Sub-consultant)	Keywords covered (eg: community mobilization, IEC..)	Details of Supporting Document (either link the document/ mention reference to the document)	Remarks if any
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Note:

- 1. Details of maximum 10 Projects under each Criteria for each package shall only be submitted*
- 2. Document (s) relating to details of assignments (requested above) shall be supported by completion certificate or work order along with payment confirmation.*
- 3. The supporting documents shall clearly state the scope of work undertaken by the bidding agency;*

**Authorized Signatory
Name & Designation**